

## United States Patent and Trademark Office

W.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/824,134	04/03/2001	David Wallach	WALLACH=16A	2547	
1444	7590 02/25/2005	EXAM	EXAMINER		
	AND NEIMARK, P.L.L.	DAVIS, MI	DAVIS, MINH TAM B		
SUITE 300	STREET, NW	ART UNIT	PAPER NUMBER		
WASHINGTON, DC 20001-5303			1642	<u> </u>	
			DATE MAILED: 02/25/2005	DATE MAILED: 02/25/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

		Appl	ication No.	Applicant(s)			
			24,134	WALLACH ET AL.			
	Office Action Summary	Exan	niner	Art Unit			
		MINH	H-TAM DAVIS	1642			
Period fo	The MAILING DATE of this commun	ication appears o	n the cover sheet with the c	orrespondence ad	dress		
A SH THE   - Exter after - If the - If NC - Failu Any	ORTENED STATUTORY PERIOD F MAILING DATE OF THIS COMMUN nsions of time may be available under the provisions SIX (6) MONTHS from the mailing date of this comr period for reply specified above is less than thirty (5 p period for reply is specified above, the maximum sr te to reply within the set or extended period for reply reply received by the Office later than three months and patent term adjustment. See 37 CFR 1.704(b).	ICATION.  of 37 CFR 1.136(a). In nunication.  sol) days, a reply within the atutory period will apply will, by statute, cause the	no event, however, may a reply be ting ne statutory minimum of thirty (30) day and will expire SIX (6) MONTHS from the application to become ABANDONE	nely filed s will be considered timel the mailing date of this of D (35 U.S.C. § 133).			
Status							
1)⊠	Responsive to communication(s) file	ed on <u>06 Decem</u> b	<u>per 2004</u> .				
	•						
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Dispositi	ion of Claims		• .				
5)□ 6)⊠ 7)□ 8)□ <b>Applicat</b> : 9)□ 10)□	Claim(s) 1-7,11 and 14 is/are pendid 4a) Of the above claim(s) is/at Claim(s) is/are allowed.  Claim(s) 1-7, 11, 14 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction Papers  The specification is objected to by the The drawing(s) filed on is/are  Applicant may not request that any objected to be performed and the properties of the performance of the pendid th	etion and/or elect e Examiner. a) accepted ection to the drawin g the correction is r	ion requirement.  or b) objected to by the eg(s) be held in abeyance. Security because of the drawing(s) is objected to be objected to by the equired if the drawing(s) is objected to be	e 37 CFR 1.85(a). jected to. See 37 C			
Priority (	ınder 35 U.S.C. § 119		· ·				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
2) Notice 3) Information	et(s)  se of References Cited (PTO-892)  se of Draftsperson's Patent Drawing Review (Internation Disclosure Statement(s) (PTO-1449 of the No(s)/Mail Date		4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	ate	O-152)		

Art Unit: 1642

## **DETAILED ACTION**

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

The finality of the previous Office action has been withdrawn, and the prosecution of this application is reopened to include a rejection not previously cited.

It is noted that applicant has paid for a Notice of Appeal. Applicant can either request a refund or place the funds on credit for future appeals.

Accordingly, claims 1-7, 11, 14 are being examined.

The following are the remaining rejections.

## **REJECTION UNDER 35 USC 101, DOUBLE PATENTING**

35 U.S.C. 101 reads as follows:

"Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requirements of this title".

A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain <u>a</u> patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245

Art Unit: 1642

F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

The non-statutory double patenting rejection, whether of the obviousness-type or non-obviousness-type, is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent. *In re Thorington,* 418 F.2d 528, 163 USPQ 644 (CCPA 1969); *In re Vogel,* 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Van Ornum,* 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Longi,* 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); and *In re Goodman,* 29 USPQ2d 2010 (Fed. Cir. 1993).

An obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but an examined application claim is not patentably distinct from the reference claim(s) because the examined claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(b) and (c) may be used to overcome an actual or provisional rejection based on a non-statutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.78(d). The filing of a terminal disclaimer cannot overcome a double patenting rejection based upon 35 U.S.C. 101.

Art Unit: 1642

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-7 of the instant application is provisionally rejected under the judicially created doctrine of obviousness-type double patenting as unpatentable over claims 29-31, 39, 42-46 of copending application Serial No. 08/860,082.

This is a *provisional* obviousness-type double patenting rejection since the conflicting claims have not in fact been patented.

The claim 1 in the present application is drawn to:

An isolated DNA molecule comprising:

- 1) a DNA sequence which encodes the MORT-1 protein, having the amino acid sequence of SEQ ID NO:2,
- 2) a DNA sequence which encodes an analog of said MORT-1 protein, which analog binds with the intracellular domain of the FAS ligand receptor (FAS-IC), which DNA sequence is capable of hybridization to the cDNA encoding SEQ ID NO:2 under moderately stringent conditions, or
- 3) a DNA coding sequence consisting of a DNA sequence which encodes a fragment of said MORT-1 protein, which binds with FAS-IC.

Claim 2 of the instant application is drawn to a DNA of claim 1, comprising a DNA sequence which encodes an analog of said MORT-1 protein, which analog binds with the intracellular domain of the FAS ligand receptor (FAS-IC), which DNA sequence is

Art Unit: 1642

capable of hybridization to the cDNA encoding SEQ ID NO:2 under moderately stringent conditions.

Claims 3-7 of the instant application are drawn to:

- a) a vector comprising a DNA sequence of claim 1(claim 3), wherein said vector could be expressed in an eukaryotic (claim 4) or prokaryotic host cell (claim 5),
  - b) an isolated transformed eukaryotic or prokaryotic host cell (claim 6), and
- c) a method for producing a polypeptide that binds to the intracellular domain of the FAS-R, comprising growing the host cells of claim 6 (claim 7).

Claim 39 in the application SN 08/466,844 is drawn to:

- 1) a DNA sequence which encodes the MORT-1 protein, having the amino acid sequence of SEQ ID NO:2,
- 2) a DNA sequence which encodes an analog of said MORT-1 protein, which differs therefrom by a single amino acid residue and binds with the intracellular domain of the FAS ligand receptor (FAS-IC), or
- 3) a DNA coding sequence consisting of a DNA sequence which encodes a fragment of said MORT-1 protein, which binds with FAS-IC.

Claim 29 is drawn to a DNA molecule of claim 39, comprising a DNA sequence encoding the amino acid sequence of SEQ ID NO:2.

Claim 30 is drawn to a DNA molecule of claim 29, comprising the DNA sequence of SEQ ID NO:2.

Art Unit: 1642

Claim 31 is drawn to a DNA molecule of claim 39, comprising a DNA coding sequence consisting of a DNA sequence which encodes a fragment of said MORT-1 protein, which binds with FAS-IC.

Claims 42-46 of copending application Serial No. 08/860,082 are drawn to:

- a) a vector comprising a DNA sequence of claim 39 (claim 42), wherein said vector could be expressed in an eukaryotic (claim 43) or prokaryotic host cell (claim 44),
  - b) an isolated transformed eukaryotic or prokaryotic host cell (claim 45), and
- c) a method for producing a polypeptide that binds to the intracellular domain of the FAS-R, comprising growing the host cells of claim 6 (claim 46).

It is noted that it seems that by typographic error claim 30 recites the DNA sequence of SEQ ID NO:2, which is an amino acid sequence. For the purpose of compact prosecution, it is assumed that claim 30 recites SEQ ID NO:1, and not SEQ ID NO:2.

The DNA molecule and fragment thereof of the copending application Serial No. 08/860,082 anticipate the DNA molecule and fragment thereof of the instant application, because they are drawn to the same DNA molecule and fragment thereof.

Further the analog of the copending application Serial No. 08/860,082 anticipates the analog the instant application, because the analog of the copending application Serial No. 08/860,082 is a species of the analog of instant application.

The vector, host cells, and a method for producing a polypeptide that binds to the intracellular domain of the FAS-R of the copending application Serial No. 08/860,082

Art Unit: 1642

anticipate the vector, host cells and a method for producing a polypeptide that binds to the intracellular domain of the FAS-R of the instant application.

Thus, although the conflicting claims are not identical, they are not patentably distinct from each other because they relate to the same inventive concept.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH-TAM DAVIS whose telephone number is 571-272-0830. The examiner can normally be reached on 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, JEFFREY SIEW can be reached on 571-272-0787. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SUSAN UNGAR, PH.D PRIMARY EXAMINER

Jusan

MINH TAM DAVIS

Art Unit: 1642

February 17, 2005

Page 8